

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

7/13

Inventor: Brian SAGAR & Peter A. WHITE
 Application No. 09/658550
 Filing Date: September 8, 2000
 Title: RETROREFLECTIVE MATERIALS



Docket Number 11619 B
 Art Unit 2872
 Examiner

Transmitted herewith is the following:

Application for Letters Patent _____ Trademark Application
 Application for Design Patent _____ Service Mark Application
 _____ Sheets of Drawings _____ Affidavit under Section 8 & 15
 Assignment for Recordation & PTO-1595 _____ Application for Renewal
 Amendment _____ Notice of Appeal
 Issue Fee Transmittal Form _____ Brief on Appeal (in triplicate)
 Other: Acknowledgement postcard; Response to Notice to File Missing Parts;
 Executed Combined Declaration & Power of Attorney; Verified Statements Claiming Small
 Entity Status for Inventors and Small Business Concern; Five (5) certified priority
 documents.

CLAIMS AS FILED				
(1) FDR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$65.00
TOTAL CLAIMS	10		X \$2.00	
INDEPENDENT CLAIMS	10		X \$10.00	
			TOTAL FILING FEE	

CLAIMS AS AMENDED						
(1)	(2) CLAIMS REMAINING AFTER AMENDMENT	(3)	(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEE
TOTAL CLAIMS		MINUS		=	X \$2	X
INDEP. CLAIMS		MINUS		=	X \$10	X
					TOTAL ADDITIONAL FEE FOR THIS AMENDMENT	

* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.
 * If the "Highest Number Previously Paid For" in THIS SPACE is less than 10, write "10" in this space.

The Commissioner is authorized to charge any additional fees required, or to credit any overpayment to Account No. 02-1435. A duplicate copy of this sheet is enclosed. (Surcharge \$65.00 & Assignment Recordal (\$40.))
 A check in the amount of \$ 105.00/ is enclosed.
 I hereby certify that this correspondence is being deposited with the United States Postal Service as EXPRESS mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D. C. 20231 on December 11, 2000 via Express Mail Label EK421449970US.

Respectfully submitted,

December 11, 2000
 CHARLES E. BAXLEY
 Baxley, Daniels & Holton
 John Street, Fifth Floor
 New York, New York 10038
 (212) 791-7200

CHARLES E. BAXLEY
 USPTO REG. 20,149

JB:RRD

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled RETROREFLECTIVE MATERIALS

the specification of which (check one): ☒ is attached hereto; ☐ was filed on _____ as Application Serial No. _____ and was amended on (or amended through) _____ (if applicable). I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

			Priority Claimed	
9921394.4	Great Britain	10 September 1999	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)		
9921618.6	Great Britain	14 September 1999	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)		
0013203.5	Great Britain	1 June 2000	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)		
0017672.7	Great Britain	20 July 2000	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)		
PCT/GB00/00062	PCT	12 January 2000	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	(Day/Month/Year Filed)		
			<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status — Patented, Pending or Abandoned)
(Application Serial No.)	(Filing Date)	(Status — Patented, Pending or Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY

I (we) hereby appoint Charles E. Baxley, Registration No. 20,149, whose post office address is: Hart, Baxley, Daniels & Holton, 59 John Street, Fifth Floor, New York, New York 10038, telephone (212)791-7200, as my (our) attorney with full power of substitution and revocation, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith.

Full Name of First or Sole Inventor Brian Sagar	Citizenship Great Britain
RESIDENCE Address — Street The Cottage, 42 Moseley Rd., Cheadle Hulme, Cheadle	POST OFFICE Address — Street The Cottage, 42 Moseley Rd., Cheadle Hulme, Cheadle
City (Zip) Cheshire SK8 5HJ	City (Zip) Cheshire SK8 5HJ
State or Country Great Britain	State or Country Great Britain
Date ✓	Signature ✓

12-12-00

Sector \$ #3



11619 B
CEB:RRD
8 Dec 00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-----X
Applicants : Brian SAGAR and Peter A. WHITE :
Serial No. : 09/658,550 :
Filing Date : September 8, 2000
For : RETROREFLECTIVE MATERIALS :
Group : 2872 :
-----X

Honorable Assistant Secretary and
Commissioner of Patents and Trademarks
Washington, D.C. 20231

Attention: Customer Service Center
Initial Patent Examination Division

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(b)
AND REQUEST FOR REFUND OF FEES PREVIOUSLY SUBMITTED**

In response to a Notice to File Missing Parts of
Nonprovisional Application filed under 37 CFR 1.53(b) and dated
October 20, 2000, enclosed herewith are the following documents:

MAILING CERTIFICATE

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
First-class mail in an envelope addressed to:
Commissioner of Patents & Trademarks, Washington, D.C.
20231 on the date indicated below:

Charles E. Baxley

Attorney's Name

Charles E. Baxley

Signature

December 11, 2000

Date

BEST AVAILABLE COPY

1. Copy of Notice to File Missing Parts of Nonprovisional Application under 37 CFR 1.53(b) from Customer Service Center, Initial Patent Examination Division dated October 20, 2000.
2. Combined Declaration for Patent Application and Power of Attorney duly executed by the Inventors.
3. Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(b) for Inventors duly executed by the Inventors.
4. Assignment of Application from Brian SAGAR and Peter A. WHITE to Reflec plc for recordal including Form PTO-1595 Recordation Form Cover Sheet.
5. Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(b) for Small Business Concern.
6. Five (5) certified priority documents consisting of United Kingdom Applns 9921394.4 filed 10 Sep 99; 9921618.6 filed 14 Sep 99; 0013203.5 filed 1 Jun 00; 0017672.7 filed 20 Jul 00 and PCT/GB00/00062 filed 12 Jan

00 and on which convention priority is claimed thereon.

Also enclosed herewith is a check in the amount of one hundred five dollars to cover a surcharge for late filing fee for oath and declaration (\$65.00) for a small entity as well as to cover the Assignment Recordal Fee (\$40.00).

R E M A R K S

Applicants are entitled to Small Entity Status as evidenced by a Verified Statement Claiming Small Entity Status for Independent Inventors (37 CFR 1.9(f) and 1.27(b) and a Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(b) for Small Business Concern both of which are enclosed herewith.

Fees submitted to USPTO on September 8, 2000 were those due from a large entity. Accordingly, Applicants' Attorney requests respectfully that the Fees submitted on September 8, 2000 should be reduced by one half (1/2) for a Small Entity. The refund of One Thousand Thirty Eight Dollars (\$1,038.00) should be credited to Applicant's Attorney's Deposit Account 02-1435.

If there are any further fees or credits with respect to the Application, you may charge or credit Deposit Account 02-1435.

Submission of the above listed five (5) priority documents should complete Applicants claims of convention priorities.

Courtesy and cooperation of the Customer Service Center, Initial Patent Examination Division are appreciated. If anything further is required, please contact Applicant's Attorney.

Respectfully,



CHARLES E. BAXLEY
Attorney of Record
USPTO Reg. 20,149

59 John Street
New York, New York 10038
Telephone: (212) 791-7200
Telecopier: (212) 791-7676

New York, New York
December 8, 2000

BEST AVAILABLE COPY

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/658,550	09/08/2000	Brian Sagar	11619 B

Hart Baxley Daniels & Holton
Fifth Floor
59 John Street
New York, NY 10038

FORMALITIES LETTER



OC00000005491773

Date Mailed: 10/20/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

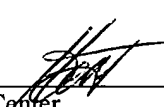
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/14/2000 RHARIS1 00000104 09658550

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65.00 OP